

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James Richard Kraemer                      Group Art Unit: 3691  
Appln. No. : 09/685,398                                      Examiner: Lalita M. Hamilton  
Filed : 10-10-2000    Confirmation No.: 7911  
For : SYSTEM AND METHOD FOR AUTOMATICALLY  
REBALANCING PORTFOLIOS BY SINGLE RESPONSE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The following reference was originally cited in a related application's (Application Serial No. 09/460,608) Information Disclosure Statement filed on 12-14-1999:

"Derwent search results dated August 12, 1999. 16 pages."

The Applicant has searched extensively to find the above-referenced document but was unable to locate it. The IDS submitted herewith omits this reference.

Respectfully submitted,

Dated: 11/03/2010  
Phone: 607-429-4358  
Fax: 607-429-4119

/John Pivnichny/  
John Pivnichny  
Reg. No. 43,001